

FORM PTO-1449

Docket Number
STL920000099US1.

Application Number
09/894,076

Applicant
AT Yaung

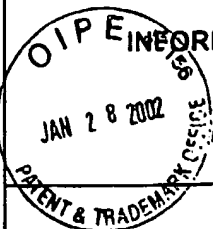
Filing Date
June 28, 2001

Group Art Unit
~~2157~~ 2122

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

JAN 28 2002

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
Har	5	3	0	1	3	2	0	04/05/94	McAtee et al.			
	5	5	3	5	3	2	2	07/09/96	Hecht			
	5	5	7	2	6	7	3	11/05/96	Shurts			
	5	5	9	6	7	4	4	01/21/97	Dao et al.			
	5	6	3	4	0	5	3	05/27/97	Noble et al.			
	5	6	3	4	1	2	7	05/27/97	Cloud et al.			
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.			
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.			
	5	7	3	4	8	3	7	03/31/98	Flores et al.			
	5	7	4	5	6	8	3	04/28/98	Lee et al.			
	5	7	5	2	0	2	7	05/12/98	Familiar			
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.			
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.			
	5	8	2	6	2	3	9	10/20/98	Du et al.			
	5	8	6	0	0	6	6	01/12/99	Rouse			
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.			
	5	8	7	3	0	8	3	02/16/99	Jones et al.			
	5	9	1	3	2	1	4	06/15/99	Madnick et al.			
	5	9	2	6	6	3	6	07/20/99	Lam et al.			
	5	9	3	0	5	1	2	07/27/99	Boden et al.			
	5	9	3	7	3	8	8	08/10/99	Davis et al.			
	5	9	8	7	4	2	2	11/16/99	Buzsaki			
	6	0	4	4	3	7	8	03/28/00	Gladney			
	6	0	6	5	0	0	9	05/16/00	Leymann et al.			
	6	0	7	3	1	0	9	06/06/00	Flores et al.			
	6	0	7	3	1	1	1	06/06/00	Leymann et al.			
	6	0	1	2	0	6	7	01/04/00	Sarkar			
	6	1	1	5	6	4	6	09/05/00	Fizsman et al.			

RECEIVED

FEB 01 2002

Technology Center 2100

BEST AVAILABLE COPY

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
✓	0	0	1	4	6	1	8	16 Mar 00	PCT			FULL	

FORM PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number
STL920000099US1-

Application Number
09/894,076

Applicant
AT Yaung

RECEIVED

Filing Date
June 28, 2001

FEB 09 2002
Group 1 Unit 2152

Technology Center 2100

FULL

FULL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

U.S. Patent Application Serial No. # 09/894,074, filed on June 28, 2001, entitled, "Method, System, and Program for Generating a Workflow", invented by WF Miller and AT Yaung.

U.S. Patent Application Serial No. # 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yaung.

Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.

Yong, Y.M. "Template-Driven Document-Exchange Specification", IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pp. 173-178.

Duscher, R. et al. "Audit Trail Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.

Dr. Leymann, F. et al. "Context Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.

Sluiman, H. "A Relational Schema to Support Task and Workflow, Data Collection and Analysis", pp. 1-3.

IBM Corp. "Chapter 4. Introducing Enterprise Information Portal Workflow" from Managing Enterprise Information Portal, IBM Enterprise Information Portal for Multiplatforms, Version 7.1, #SC27-0875-00, Product Numbers 5697-G29 and 5697-G31, August 2000, pp. iii-iv and pp. 39-45.

IBM, Corp. "Concepts and Architecture" IBM MQSeries Workflow, Version 3.3, #GH12-6285-03, Product Number 5697-FM3, March 2001, pp. iii-58.

IBM, Corp. "User's Guide for the Work Management Builder" IBM ImagePlus Workfolder Application Facility for AS/400, Version 4 Release 1, #SC34-4587-00, Program Number 5733-A18, September 1997, pp. iii-119.

Su, SYW et al. "An Extensible Knowledge Base Management System for Supporting Rule-based Interoperability among Heterogeneous Systems" 1995, ACM # 0-89791-812-6/95/11, pp. 1-10.

Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.

Leymann, F. et al. "Workflow-based Applications" [online], Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: <http://www.research.ibm.com/journal/sj/361/leymann.html>>

Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589

EXAMINER

Roan...inter...Ba

DATE CONSIDERED

5/25/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.